



State Auditor, R. Thomas Wagner invites you to attend his Annual Fall Training! November 14 & 15, 2017



Location:

Dover Downs Hotel & Conference Center
1131 N. DuPont Hwy., Dover, DE 19903

According to the 2016 Association of Certified Fraud Examiners' *Report to the Nations on Occupational Fraud and Abuse*, less than 4% of occupational frauds are detected by external auditors. Join Tiffany Couch, CPA, CFF, CFE, as she demonstrates how to bring more success to the informational interviews you conduct as an auditor. During the first part of day two, members from the Delaware Department of Justice Medicaid Division and the DHSS Medicaid Fraud Control Unit will give an overview of their jurisdictions and insight into the investigation process. Closing out the second day of AOA's Annual Fall Training, individuals from CliftonLarsonAllen LLP will provide attendees with an overview of reporting on service organization controls (SOC), including the various SOC reports, and an introduction to the new Cybersecurity SOC Concept.

Day 1 – Tuesday, November 14, 2017

8:00 a.m. Registration and Continental Breakfast

8:30 a.m. – 4:30 p.m.

Instructor: **Tiffany R. Couch, CPA/CFF, CFE**
Principal, Acuity Forensics

Participants will:

- Learn interviewing basics for auditors
- Gain an understanding of the hidden impacts of fraud and the ethics of fraud investigation
- Explore the most common types of frauds through various fraud case studies

NASBA Field of Study:

Regulatory Ethics – 2.0 CPE

Auditing (Fraud) – 3.0 CPE

Communications and Marketing – 3.0 CPE

Day 2 – Wednesday, November 15, 2017

8:00 a.m. Registration and Continental Breakfast

8:30 a.m. – 12:00 p.m.

Instructors: **Kate Keller, Tiphonie Miller, Phil Hill**
State of Delaware, Department of Justice

Participants will:

- Learn about the life of Medicaid fraud cases, from referral to prosecution
- Learn about the units responsible for investigating Medicaid fraud

NASBA Field of Study: Auditing (Governmental; Fraud) – 4.0 CPE*

1:00 p.m. – 4:30 p.m.

Instructors: **James Kreiser, Amanda Kemp**
CliftonLarsonAllen LLP

Participants will:

- Be able to differentiate between SOC 1 and SOC 2 reports
- Examine how the SSAE transition impacts their organization

NASBA Field of Study: Auditing – 4.0 CPE

Prerequisites: None
Program Level: Basic

Advanced Preparation: None
Delivery Method: Group Live

Cost: \$200 per day or \$375 for both days

Click here for the registration form:

<http://auditor.delaware.gov/wp-content/uploads/sites/40/2017/08/Registration-Form-Nov-2017.pdf>

The cost includes the CPEs described above, continental breakfast, lunch, and snacks.

Refund Policy: Refunds are provided if the attendee provides to AOA notice of cancellation at least two weeks prior to training (e.g. if the training is on June 5th, there is no refund for cancellations after May 24th). Please send notice of cancellation to Deborah.Kriegisch@state.de.us.

Program Cancellation: It is not AOA's practice to cancel trainings; however, there are instances that occasionally prevent an event from occurring, such as inclement weather. If an event such as this occurs, AOA will make every effort possible to reschedule the training.

Complaint Resolution: AOA will provide a written response to all CPE related complaints within 30 days. Please contact Tammy.Smith@state.de.us.

For additional contact information visit <http://auditor.delaware.gov/>.

* Auditors must use Professional Judgment when determining if these sessions qualify for Yellow Book CPE in accordance with the Government Accountability Office, Government Auditing Standards, 2011 Revision and Guidance on GAGAS Requirements for Continuing Professional Education, GAO-05-568.



AOA is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State Boards of Accountancy have the final authority on the acceptance of individual course for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. (National Registry of CPE Sponsors ID #122406)